



1B 04750238

# ÖSTERREICHISCHES PATENTAMT

A-1200 Wien, Dresdner Straße 87

MAILED 23 MAR 2004

WIPO

PCT

Kanzleigebühr € 13,00  
Schriftengebühr € 65,00

Aktenzeichen A 444/2003

Das Österreichische Patentamt bestätigt, dass

**die Firma SEZ AG  
in A-9500 Villach, Draubodenweg 29  
(Kärnten),**

am **20. März 2003** eine Patentanmeldung betreffend

**"Vorrichtung und Methode zum Nassbehandeln scheibenförmiger  
Gegenstände",**

überreicht hat und dass die beigeheftete Beschreibung samt Zeichnungen  
mit der ursprünglichen, zugleich mit dieser Patentanmeldung überreichten  
Beschreibung samt Zeichnungen übereinstimmt.

Es wurde beantragt, Ing. Karl-Heinz Hohenwarter in Villach (Kärnten),  
als Erfinder zu nennen.

Österreichisches Patentamt

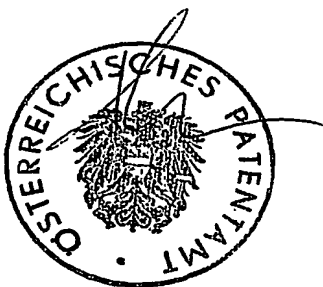
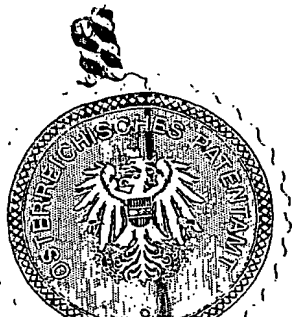
Wien, am 25. Februar 2004

Der Präsident:

i. A.

**PRIORITY  
DOCUMENT**

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)



**HRNCIR**  
Fachoberinspektor

BEST AVAILABLE COPY

A 444/2003

51 Int. Cl. :

SEZ 0078-AT  
**Urtext**

AT PATENTSCHRIFT

11 Nr.

(73) Patentinhaber:

**SEZ AG**

(54) Gegenstand :

**Vorrichtung und Methode zum Nassbehandeln scheibenförmiger Gegenstände**

(61) Zusatz zu Patent Nr.

(66) Umwandlung aus GM

(62) Ausscheidung aus :

(22) (21) Angemeldet am:

(30) Priorität :

(42) Beginn der Patentdauer :

Längste mögliche Dauer :

(45) Ausgegeben am :

(72) Erfinder :

**Ing. Karl-Heinz Hohenwarter**

(60) Abhängigkeit :

(56) Entgegenhaltungen, die für die Beurteilung der Patentierbarkeit in Betracht gezogen wurden:

# Device and method for wet treating disc-shaped Articles

The invention relates to a device and a method for wet treating a flat plate-like substrate, such as semiconductor wafers, flat panel displays or compact discs. The device comprising a spin-chuck for holding and rotating the substrate, at least one dispenser for dispensing a liquid onto at least one surface of said substrate, a liquid collector circumferentially surrounding said spin-chuck for collecting liquid, which is spun off the substrate during rotation. The liquid collector has at least two collector levels. Each of the collector levels has the purpose of separately collecting liquids in different collectors.

10 The device further comprises lifting means for moving spin-chuck relative to liquid collector substantially along the rotation axis and at least two exhaust levels for separately collecting gas from the interior of the liquid collector. Collecting gas from the interior of the liquid collector is useful to avoid deposition of mist being generated when liquid is spun off the substrate.

15 Such a liquid collector often is called a "cup" even though it does not necessarily have a closed bottom. Another word often used for the liquid collector is "chamber" even though it is not closed on all sides.

Such a device is known in the art and described in details in US 4,903,717. This patent shows each collector of each collector level being connected to a common exhaust.

20 Each collector level thereby simultaneously serves as an exhaust level. Therefore while the common exhaust is turned on gas is sucked from the interior of the liquid collector by each exhaust level.

During processing it might happen that below the level of the spin-chuck the gas pressure is lower than ambient gas pressure. Consequently liquid which is ought to be spun in to a specific collector level might be partly sucked into the collector level below the selected collector level.

If a liquid X is brought to a wrong collector level in which a different liquid Y shall be collected liquid X will contaminate liquid Y. In the worst case liquids X and Y react with one another generating hazardous or flammable reaction products.

30 Another undesired result might happen if liquid Y is recycled in order to treat as many substrates as possible. A contamination of liquid Y with liquid X then might result in the

Thus it is an object of the invention to avoid liquid being partly sucked into the collector level not actually selected to collect that liquid.

The invention meets the objects by providing a device for wet treating a flat plate-like  
10 substrate comprising:

- The dispenser can be configured in different ways, e.g. so that liquid sprays onto a substrate or runs onto the substrate in a continuous, turbulence-free way. The dispenser can be configured either to be directed towards the lower surface of a substrate when processed, therefore directed upwards, or towards the upper surface of a substrate when processed, therefore directed downwards. In both cases the dispenser can be configured to be horizontally moved during processing. It is further possible to use dispensers of both configurations, which allows to apply liquid onto both surfaces of the substrate even at the same time.

The spin-chuck can for instance be a vacuum-chuck, a Bernoulli-chuck, a chuck gripping the edge of the substrate only (edge contact only = ECO) or a combination of such types.

5 Each exhaust level comprises interiorly open suction orifices. The suction orifices may be an annularly arranged plurality of suction nozzles. Another possibility is to provide one annular slit-shaped nozzle. In any case it is advantageous to provide an annular gas-collecting chamber to circumferentially equalize gas flow conditions in one and the same exhaust level.

10 An advantage of the invention is that a significant reduction of the exhausted volume is possible and that cross-contamination between two neighbored collector levels can be avoided.

Optionally the device has exhaust influencing means, which are flow control modulating valve, such as a butterfly valve. This allows not only to shut off an exhaust level, but also to precisely lower gas flow in every exhaust level.

15 In an advantageous device the at least one exhaust influencing means is a closing valve, whereby one of the at least two exhaust levels can be closed. Such a configuration allows an easier control.

In another embodiment the device comprises controlling means whereby the at least one exhaust influencing means is controlled in dependence of the relative position of spin-chuck to liquid collector. Although this can be carried out easily in a mechanic way  
20 by connecting exhaust influencing means direct with the lifting means this will typically be done by a computer. In the latter case the computer receives information about the relative position of chuck to liquid collector either directly from the lifting means or electronic detectors detect the position.

25 If the suction orifices of at least one of the exhaust levels are connected to one of the two collector levels this collector level at the same time serves as exhaust level. The gas is sucked from the interior of the liquid collector into the collector level and therein separated from the liquid.

---

30 Yet another embodiment has at least one of the at least two exhaust levels arranged above or below of a collector level. In this case the collector level only collects liquid and

---

does not suck gas as well. This brings the advantage that gas and liquid do not have to be separated after being collected.

Another aspect of the invention is a method of controlling the gas flow within a device for wet treating a flat plate-like substrate. The device comprises a spin-chuck for holding and rotating the substrate, at least one dispenser for dispensing a liquid onto at least one surface of said substrate, a liquid collector circumferentially surrounding said spin-chuck for collecting liquid, which is spun off the substrate during rotation. The liquid collector comprises at least two collector levels for separately collecting liquids. The device further comprises lifting means for moving spin-chuck relative to liquid collector substantially along the rotation axis and at least two exhaust levels for separately collecting gas from the interior of the liquid collector. The method is characterized in selectively generating different gas flow conditions in at least two of said exhaust levels.

In an embodiment the different gas flow conditions are selected in a way to achieve substantially the same gas pressure adjacent to the rotating substrate above and below said substrate.

Further details and advantages of the invention can be realized from the detailed description of a preferred embodiment.

Fig. 1 shows a schematic cross section of a first embodiment of the invention.

Fig. 2 shows a schematic cross section of a second embodiment of the invention.

Fig 1 shows a device 1 comprising a spin-chuck 2 for holding and rotating a substrate W. The substrate has a first side W1 and a second side W2. The spin-chuck is connected to a gear motor unit 5 to be rotated about its axis A. Dispense arm 3 is used for dispensing liquid onto the first surface W1 of the substrata W.

A cup-like liquid collector 4 circumferentially surrounds the spin-chuck 2. The liquid collector is mounted on a frame (not shown). Lifting means H are provided to alter the spin-chuck position relative to the liquid collector. Thus the spin-chuck can be lifted to each of the three collector levels L1, L2 and L3. Each collector level L1, L2, L3 comprises an annular duct 41, 42, 43 to have spun off liquid collected therein. An

additional splash guard (not shown) can be used for each collector to allow spun off liquid to hit it at an acute angle and thereafter to be directed to the annular duct. Each annular duct 41, 42, 43 is connected to a pipe 81, 82, 83 through which the collected liquid is drained. Drained liquid can immediately be reused to be dispensed to the

substrate or collected as waste liquid. Each collector level L1, L2, L3 is for collecting different liquids. L1 is for collecting rinse liquid (e.g. DI-water), L2 for acidic liquids and L3 for basic liquids.

The dash dotted lines indicate the planes, where the substrate is to be placed for spinning off the liquids into the different collector levels.

Above each collector level L1, L2, L3 an exhaust level E1, E2, E3 is arranged substantially parallel to the collector level. The exhaust levels are indicated by dotted lines. Each exhaust level comprises a plurality of interiorly open annularly arranged suction orifices 21, 22, 23. Each array of the plurality of suction orifices 21, 22 or 23 is connected to a separate ring-shaped gas-collecting chamber 11, 12, 13 respectively.

Each gas-collecting chamber is sucked off via a pipe 61, 62, 63. In each pipe 61, 62, 63 is controlled by a valve 71, 72, 73. In the shown embodiment the valves are butterfly valves. This gives the advantage that the valve does not have to be totally closed but can be almost closed so that still a very small amount of gas can be sucked off in that specific suction level.

Most of the gas flow (air) that is sucked from the interior 40 of the liquid collector is provided from above (first gas-flow F1). Additional openings are provided, which connect the interior of the liquid collector below the chuck with the exterior. This results in a second gas-flow F2, which is preferably feed with clean air either from the surrounding clean room or from a separate source. Means for selectively modulating the second gas-flow can be provided.

The following table shows possible conditions for running the device 1 as shown in Fig. 1:

Chuck Position	upper exhaust level E1	middle exhaust level E2	lower exhaust level E3
upper collector level L1 (Fig. 1)	100% open	80% open	closed
middle collector level L2	10% open	100% open	60% open
lower collector level L3	closed	10% open	100% open

A computer can automatically select the status of each exhaust level in dependence of the position of the chuck.

Fig. 2 shows a second embodiment of the invention similar to the first embodiment with the following differences. The exhaust orifices 21, 22, 23 are connected to the collector levels. Thus the collector levels L1, L2, L3 serve at the same time as exhaust levels E1, E2, E3. To equalize suction conditions circumferentially around each exhaust level each array of suction orifices is connected to an annular gas-collector chamber.

The following table shows possible conditions for running the device 1 as shown in Fig. 2:

Chuck Position	upper exhaust level E1	middle exhaust level E2	lower exhaust level E3
upper collector level L1 (Fig. 1)	100% open	10% open	closed
middle collector level L2	10% open	100% open	10% open
lower collector level L3	closed	10% open	100% open

In order to separate gas sucked from a specific exhaust level (e.g. E2) from gas sucked by another exhaust level (e.g. E3) it is possible to connect each exhaust level to a different exhaust system. Such an exhaust system may contain elements for neutralizing the gas, denoxing (removing NO<sub>x</sub>) and/or removing liquid residues (mist).

When lowering the chuck 2 the gas volume 47 below the chuck 2 is reduced. Therefore to avoid discharging gas against the second gas flow F2 might it be necessary to temporarily open the lower gas exhaust level or to generally increase exhaust flow E.



## Claims:

1. Device (1) for wet treating a flat plate-like substrate (W) comprising:

1.1 a spin-chuck (2) for holding and rotating the substrate;

1.2 at least one dispenser (3) for dispensing a liquid onto at least one surface (W) of  
5 said substrate;

1.3 a liquid collector (4) circumferentially surrounding said spin-chuck for collecting  
liquid, which is spun off the substrate during rotation, with at least two collector  
levels (L1, L2), for separately collecting liquids in different collectors (41, 42);

1.4 lifting means (H) for moving spin-chuck (2) relative to liquid collector (4)  
10 substantially along the rotation axis (A);

1.5 at least two exhaust levels (E1, E2) for separately collecting gas from the interior  
(40) of the liquid collector (4);

1.6 at least one exhaust influencing means (71), which is associated with at least  
one of said at least two exhaust levels, for selectively varying gas flow conditions  
15 in at least one of said at least two exhaust levels (E1, E2).

2. Device according to claim 1, wherein the at least one exhaust influencing means  
(71) is a flow control modulating valve, such as a butterfly valve.

3. Device according to claim 1, wherein the at least one exhaust influencing means  
(71) is a closing valve, whereby one of the at least two exhaust levels can be closed.

20 4. Device according to claim 1 comprising controlling means whereby the at least one  
exhaust influencing means is controlled in dependence of the relative position of  
spin-chuck to liquid collector.

5. Device according to claim 1, wherein suction orifices (21, 22) of at least one of the  
exhaust level (E1, E2) are connected to one of the two collector levels (L1, L2).

25 6. Device according to claim 1, wherein at least one of the at least two exhaust levels  
(E1, E2) is arranged above or below of a collector level (L1, L2).

~~7. Method of controlling the gas flow within a device (1) for wet treating a flat plate-like  
substrate (W);~~

~~the device comprising a spin-chuck (2) for holding and rotating the substrate; at least~~

30 ~~one dispenser for dispensing a liquid onto at least one surface of said substrate; a~~

liquid collector circumferentially surrounding said spin-chuck for collecting liquid, which is spun off the substrate during rotation, with at least two collector levels for separately collecting liquids; lifting means for moving spin-chuck relative to liquid collector substantially along the rotation axis; at least two exhaust levels for separately collecting gas from the interior of the liquid collector

characterized in selectively generating different gas flow conditions in at least two of said exhaust levels.

8. Method according to claim 7 wherein the different gas flow conditions are selected in a way to achieve substantially the same gas pressure adjacent to the rotating substrate above and below said substrate.

# Abstract

Disclosed is a device for wet treating a flat plate-like substrate comprising a spin-chuck (2) for holding and rotating the substrate, at least one dispenser (3) for dispensing a liquid onto at least one surface (W) of said substrate, a liquid collector (4) circumferentially surrounding said spin-chuck for collecting liquid, which is spun off the substrate during rotation, with at least two collector levels (L1, L2), for separately collecting liquids in different collectors (41, 42), lifting means (H) for moving spin-chuck (2) relative to liquid collector (4) substantially along the rotation axis (A), at least two exhaust levels (E1, E2) for separately collecting gas from the interior (40) of the liquid collector (4) and at least one exhaust influencing means (71), which is associated with at least one of said at least two exhaust levels, for selectively varying gas flow conditions in at least on of said at least two exhaust levels (E1, E2).

Fig. 1

Fig. 2

PCT/IB2004/050238



This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images  
problems checked, please do not report the  
problems to the IFW Image Problem Mailbox**